PRIVACY IMPACT ASSESSMENT (PIA)

PRESCRIBING AUTHORITY: DoD Instruction 5400.16, "DoD Privacy Impact Assessment (PIA) Guidance". Complete this form for Department of Defense (DoD) information systems or electronic collections of information (referred to as an "electronic collection" for the purpose of this form) that collect, maintain, use, and/or disseminate personally identifiable information (PII) about members of the public, Federal employees, contractors, or foreign nationals employed at U.S. military facilities internationally. In the case where no PII is collected, the PIA will serve as a conclusive determination that privacy requirements do not apply to system.

1. DOD INFORMATION SYSTEM/ELECTRONIC COLLECTION NAME:

Identity, Credential, and Access Management (ICAM)

2. DOD COMPONENT NAME:

Defense Information Systems Agency

3. PIA APPROVAL DATE:

03/02/21

SECTION 1: PII DESCRIPTION SUMMARY (FOR PUBLIC RELEASE)

a. The PII is: *(Check one. Note: Federal contractors, military family members, and foreign nationals are included in general public.)*

- ☐ From members of the general public
- ☐ From Federal employees
- ☒ from both members of the general public and Federal employees
- ☐ Not Collected *(if checked proceed to Section 4)*

b. The PII is in a: *(Check one.)*

- ☒ New DoD Information System
- ☐ New Electronic Collection
- ☐ Existing DoD Information System
- ☐ Existing Electronic Collection
- ☐ Significantly Modified DoD Information System

c. Describe the purpose of this DoD information system or electronic collection and describe the types of personal information about individuals collected in the system.

The Identity Credential and Access Management (ICAM) system is being established to be the primary enterprise identity service solution for DISA. ICAM is a web-based platform for user access management to a portfolio of Department of Defense (DoD) information systems, which are material for audit. The ICAM user base includes DoD employees in the following categories: Active Duty, Presidential Appointee, DoD Civil Service, DoD Contractors, Foreign Military Members, Foreign Civilian hires and other mission partners, non-CAC holders (such as sponsored guests and visitors), and non-person entities. ICAM will collect user identity data from IdSS, such as Last Name, First Name, Unit address, Duty phone, CAC issue date, CAC expiration date, etc. to populate the ICAM Master User Record.

d. Why is the PII collected and/or what is the intended use of the PII? *(e.g., verification, identification, authentication, data matching, mission-related use, administrative use)*

Personal data collected is used for verification, identification, authentication, data matching, reporting, and workflow routing.

e. Do individuals have the opportunity to object to the collection of their PII? ☐ Yes ☒ No

(1) If "Yes," describe the method by which individuals can object to the collection of PII.

(2) If "No," state the reason why individuals cannot object to the collection of PII.

PII data is required to implement and operate DoD information technology (IT). If the data was not available for a specific individual, then that individual would not be able to access key new components of DOD IT such as business systems access, which are require for individuals to complete their work. The ICAM cannot remove an individual's data, since it does not collect PII directly from the individual, but rather obtains data elements from other established systems that are approved to collect these PII data.

f. Do individuals have the opportunity to consent to the specific uses of their PII? ☒ Yes ☐ No

(1) If "Yes," describe the method by which individuals can give or withhold their consent.

(2) If "No," state the reason why individuals cannot give or withhold their consent.

ICAM provides a Privacy Act statement to its users which notifies the individual about the authority to collect the information requested, the purposes for which it will be used, other routine uses of the information, and the consequences of declining to provide the information.

g. When an individual is asked to provide PII, a Privacy Act Statement (PAS) and/or a Privacy Advisory must be provided. *(Check as appropriate and provide the actual wording.)*

☒ Privacy Act Statement ☐ Privacy Advisory ☐ Not Applicable

PRIVACY ACT INFORMATION - THE INFORMATION ACCESSED THROUGH THIS SYSTEM IS FOR OFFICIAL USE ONLY AND MUST BE PROTECTED IN ACCORDANCE WITH THE PRIVACY ACT OF 1974.

Authority: 10 U.S.C. Chapter 8-Defense Agencies and Department of Defense Field Activities; DoD Directive (DoDD) 5105.19, Defense Information Systems Agency (DISA); DoD Directive 1000.25, DoD Personnel Identity Protection (PIP) Program; DoD Enterprise User Data Management Plan for Persons and Personas; and DoD Global Information Grid 2.0 Concept of Operations (GIG 2.0 CONOPS); DoDI 5200.46-DoD Investigative and Adjudicative Guidance for Issuing the Common Access Card (CAC) and DoDI 8520.03-Identity Authentication for Information Systems.

Principle Purpose: The Identity, Credential, and Access Management (ICAM) System is a DoD Enterprise Identity Service that creates a single user record, consolidating all pertinent data associated with the individual under one (1) account. Its principle purpose is to capture and to maintain a record of names, signatures, and other identifiers for the purpose of validating the trustworthiness of individuals requesting access to Department of Defense (DoD) systems and information. NOTE: Records may be maintained in both electronic and/or paper form.

Routine Uses: The information in this system may be disclosed as generally permitted under 5 U.S.C Section 552a(b) of the Privacy Act of 1974, as amended. It may also be disclosed outside of the Department of Defense (DoD) to the Federal Reserve Banks to verify authority of the appointed individuals to issue Treasury checks. In addition, other Federal, State and local government agencies, which have identified a need to know, may obtain this information for the purpose(s) identified in the DoD Blanket Routine Uses published at: https://dpcld.defense.gov/Privacy/About-the-Office/DoD-Federal-Privacy-Rule/Appendix-C/.

Disclosure: Disclosure of this information is voluntary; however, failure to provide the requested information may impede, delay or prevent further processing of system access request or may preclude appointments.

h. With whom will the PII be shared through data/system exchange, both within your DoD Component and outside your Component? *(Check all that apply)*

☒	Within the DoD Component	Specify.	DISA
☒	Other DoD Components *(i.e. Army, Navy, Air Force)*	Specify.	All DoD Military Departments and Defense Agencies
☒	Other Federal Agencies *(i.e. Veteran's Affairs, Energy, State)*	Specify.	Department of Veteran's Affairs
☒	State and Local Agencies	Specify.	All users appropriately sponsored for access to supported applications
☒	Contractor *(Name of contractor and describe the language in the contract that safeguards PII. Include whether FAR privacy clauses, i.e., 52.224-1, Privacy Act Notification, 52.224-2, Privacy Act, and FAR 39.105 are included in the contract.)*	Specify.	CSRA, LLC As OTAs are not subject to the FAR, A Privacy Act and PII Safeguarding Article, was included into the ICAM OTA, based on the particular FAR clause needed, to formulate language that is specific to OTAs
☐	Other *(e.g., commercial providers, colleges).*	Specify.	

i. Source of the PII collected is: *(Check all that apply and list all information systems if applicable)*

☐	Individuals	☐	Databases
☒	Existing DoD Information Systems	☐	Commercial Systems
☐	Other Federal Information Systems		

Identity Synchronization Services (IdSS)

j. How will the information be collected? *(Check all that apply and list all Official Form Numbers if applicable)*

☐	E-mail	☐	Official Form (*Enter Form Number(s) in the box below)*
☐	In-Person Contact	☐	Paper
☐	Fax	☐	Telephone Interview
☒	Information Sharing - System to System	☒	Website/E-Form
☐	Other *(If Other, enter the information in the box below)*		

Terms of Service agreements are established between DISA ICAM PMO and the IdSS PMO.

k. Does this DoD Information system or electronic collection require a Privacy Act System of Records Notice (SORN)?

A Privacy Act SORN is required if the information system or electronic collection contains information about U.S. citizens or lawful permanent U.S. residents that is retrieved by name or other unique identifier. PIA and Privacy Act SORN information must be consistent.

☒ Yes ☐ No

If "Yes," enter SORN System Identifier K890.14 DoD

SORN Identifier, not the Federal Register (FR) Citation. Consult the DoD Component Privacy Office for additional information or http://dpcld.defense.gov/Privacy/SORNs/

or

If a SORN has not yet been published in the Federal Register, enter date of submission for approval to Defense Privacy, Civil Liberties, and Transparency Division (DPCLTD). Consult the DoD Component Privacy Office for this date

If "No," explain why the SORN is not required in accordance with DoD Regulation 5400.11-R: Department of Defense Privacy Program.

l. What is the National Archives and Records Administration (NARA) approved, pending or general records schedule (GRS) disposition authority for the system or for the records maintained in the system?

(1) NARA Job Number or General Records Schedule Authority. DAA-GRS2013-0003-0001

(2) If pending, provide the date the SF-115 was submitted to NARA.

(3) Retention Instructions.

Longer retention is authorized if required for business use for this disposition authority. Disposition is 10 years after the final invoice or Intra-Government Payment and Collection or other similar documentation. Note: This is an increase over the NARA six year minimum retention standards for these record types. To support the beginning balances in the Department's Fiscal Year 2018 financial audit, documentation from greater than six years prior will be required. Thus, documentation must be retained for 10 years, the life of our longest lived (non no-year) funding.

m. What is the authority to collect information? A Federal law or Executive Order must authorize the collection and maintenance of a system of records. For PII not collected or maintained in a system of records, the collection or maintenance of the PII must be necessary to discharge the requirements of a statue or Executive Order.

(1) If this system has a Privacy Act SORN, the authorities in this PIA and the existing Privacy Act SORN should be similar.
(2) If a SORN does not apply, cite the authority for this DoD information system or electronic collection to collect, use, maintain and/or disseminate PII. (If multiple authorities are cited, provide all that apply).

(a) Cite the specific provisions of the statute and/or EO that authorizes the operation of the system and the collection of PII.

(b) If direct statutory authority or an Executive Order does not exist, indirect statutory authority may be cited if the authority requires the operation or administration of a program, the execution of which will require the collection and maintenance of a system of records.

(c) If direct or indirect authority does not exist, DoD Components can use their general statutory grants of authority ("internal housekeeping") as the primary authority. The requirement, directive, or instruction implementing the statute within the DoD Component must be identified.

10 U.S.C. Chapter 8-Defense Agencies and Department of Defense Field Activities; DoD Directive (DoDD) 5105.19, Defense Information Systems Agency (DISA); DoD Directive 1000.25, DoD Personnel Identity Protection (PIP) Program; DoD Enterprise User Data Management Plan for Persons and Personas; and DoD Global Information Grid 2.0 Concept of Operations (GIG 2.0 CONOPS); DoDI 5200.46-DoD Investigative and Adjudicative Guidance for Issuing the Common Access Card (CAC) and DoDI 8520.03-Identity Authentication for Information Systems.

n. Does this DoD information system or electronic collection have an active and approved Office of Management and Budget (OMB) Control Number?

Contact the Component Information Management Control Officer or DoD Clearance Officer for this information. This number indicates OMB approval to collect data from 10 or more members of the public in a 12-month period regardless of form or format.

☒ Yes ☐ No ☐ Pending

(1) If "Yes," list all applicable OMB Control Numbers, collection titles, and expiration dates.
(2) If "No," explain why OMB approval is not required in accordance with DoD Manual 8910.01, Volume 2, " DoD Information Collections Manual: Procedures for DoD Public Information Collections."
(3) If "Pending," provide the date for the 60 and/or 30 day notice and the Federal Register citation.

OMB Control Number: 0704-0415; Expiration Date: None