



PRIVACY IMPACT ASSESSMENT (PIA)

For the

FAMIS-CS Modernization

Defense Information Systems Agency (DISA)

SECTION 1: IS A PIA REQUIRED?

a. Will this Department of Defense (DoD) information system or electronic collection of information (referred to as an "electronic collection" for the purpose of this form) collect, maintain, use, and/or disseminate PII about members of the public, Federal personnel, contractors or foreign nationals employed at U.S. military facilities internationally? Choose one option from the choices below. (Choose (3) for foreign nationals).

- (1) Yes, from members of the general public.
- (2) Yes, from Federal personnel* and/or Federal contractors.
- (3) Yes, from both members of the general public and Federal personnel and/or Federal contractors.
- (4) No

* "Federal personnel" are referred to in the DoD IT Portfolio Repository (DITPR) as "Federal employees."

b. If "No," ensure that DITPR or the authoritative database that updates DITPR is annotated for the reason(s) why a PIA is not required. If the DoD information system or electronic collection is not in DITPR, ensure that the reason(s) are recorded in appropriate documentation.

c. If "Yes," then a PIA is required. Proceed to Section 2.

SECTION 2: PIA SUMMARY INFORMATION

a. Why is this PIA being created or updated? Choose one:

- New DoD Information System
- New Electronic Collection
- Existing DoD Information System
- Existing Electronic Collection
- Significantly Modified DoD Information System

b. Is this DoD information system registered in the DITPR or the DoD Secret Internet Protocol Router Network (SIPRNET) IT Registry?

- Yes, DITPR Enter DITPR System Identification Number
- Yes, SIPRNET Enter SIPRNET Identification Number
- No

c. Does this DoD information system have an IT investment Unique Project Identifier (UPI), required by section 53 of Office of Management and Budget (OMB) Circular A-11?

- Yes
 - No
- If "Yes," enter UPI

If unsure, consult the Component IT Budget Point of Contact to obtain the UPI.

d. Does this DoD information system or electronic collection require a Privacy Act System of Records Notice (SORN)?

A Privacy Act SORN is required if the information system or electronic collection contains information about U.S. citizens or lawful permanent U.S. residents that is retrieved by name or other unique identifier. PIA and Privacy Act SORN information should be consistent.

- Yes
 - No
- If "Yes," enter Privacy Act SORN Identifier

DoD Component-assigned designator, not the Federal Register number.
Consult the Component Privacy Office for additional information or
access DoD Privacy Act SORNs at: <http://www.defenselink.mil/privacy/notices/>

or

Date of submission for approval to Defense Privacy Office
Consult the Component Privacy Office for this date.

e. Does this DoD information system or electronic collection have an OMB Control Number?

Contact the Component Information Management Control Officer or DoD Clearance Officer for this information.

This number indicates OMB approval to collect data from 10 or more members of the public in a 12-month period regardless of form or format.

Yes

Enter OMB Control Number

Enter Expiration Date

No

f. Authority to collect information. A Federal law, Executive Order of the President (EO), or DoD requirement must authorize the collection and maintenance of a system of records.

(1) If this system has a Privacy Act SORN, the authorities in this PIA and the existing Privacy Act SORN should be the same.

(2) Cite the authority for this DoD information system or electronic collection to collect, use, maintain and/or disseminate PII. (If multiple authorities are cited, provide all that apply.)

(a) Whenever possible, cite the specific provisions of the statute and/or EO that authorizes the operation of the system and the collection of PII.

(b) If a specific statute or EO does not exist, determine if an indirect statutory authority can be cited. An indirect authority may be cited if the authority requires the operation or administration of a program, the execution of which will require the collection and maintenance of a system of records.

(c) DoD Components can use their general statutory grants of authority ("internal housekeeping") as the primary authority. The requirement, directive, or instruction implementing the statute within the DoD Component should be identified.

FAMIS-CS Modernization is not the authoritative data source of PII. FAMIS-CS Modernization is a financial accounting system that executes financial transactions, performs financial inquiries and creates financial reporting. The systems collects and maintains time and attendance and travel obligation information via an interface file from the Defense Civilian Pay System (DCPS) and the Defense travel System (DTS). The authority to collect, use and maintain the applicable information is noted from the DoD Financial Management Regulation (FMR) Volume 8, Chapter 2, Paragraph 020205

The following authority permits FAMIS-CS Modernization to collect data:

- DISA CIO Memorandum, Subject: Authorization to Operate for the Federal Financial Accounting Management Information System (FAMIS), Tracking Number 1289711, dated 24 Aug 2009.

g. Summary of DoD information system or electronic collection. Answers to these questions should be consistent with security guidelines for release of information to the public.

(1) Describe the purpose of this DoD information system or electronic collection and briefly describe the types of personal information about individuals collected in the system.

PII collected includes: Vendor and customer Point of Contact (POC) information, Employee Names, and Government Social Security Numbers for financial management purposes.
FAMIS-CS Modernization receives a bi-weekly data interface of gross pay and master employee data from DCPS. Data records are uniquely identified by Social Security Number for the purpose of cost accounting, billing customers and recording financial transactions.
FAMIS-CS Modernization receives a data interface of travel obligation data multiple times per day from DTS. Data records are uniquely identified by truncated Social Security Number for the purpose of cost accounting, billing customers as required and recording financial transactions.

(2) Briefly describe the privacy risks associated with the PII collected and how these risks are addressed to safeguard privacy.

There is a collection, use and sharing risk that PII data could be accessed by unauthorized personnel without a need to know basis. The risk is mitigated with technical and procedural controls. Information is restricted to DISA and Defense Finance and Accounting Service (DFAS) financial management personnel and only available on a need to know basis as prescribed by the roles and responsibilities assigned for financial management purposes. At database level, data at rest or in transit is encrypted according to Federal Information Processing Standards (FIPS) 140-2.

See Technical Controls identified in Section 3.d.2 below.

h. With whom will the PII be shared through data exchange, both within your DoD Component and outside your Component (e.g., other DoD Components, Federal Agencies)? Indicate all that apply.

Within the DoD Component.

Specify.

Other DoD Components.

Specify.

Other Federal Agencies.

Specify.

State and Local Agencies.

Specify.

Contractor (Enter name and describe the language in the contract that safeguards PII.)

Specify.

Other (e.g., commercial providers, colleges).

Specify.

i. Do individuals have the opportunity to object to the collection of their PII?

Yes No

(1) If "Yes," describe method by which individuals can object to the collection of PII.

(2) If "No," state the reason why individuals cannot object.

FAMIS-CS Modernization is not the authoritative data source of PII. Individuals do not have the opportunity to object to the collection of PII within FAMIS-CS Modernization. The system collects and maintains time and attendance and travel obligation information via interface file and DCPS and DTS where the initial collection and authorization of PII is performed.

The PIA completed for DCPS states: "Individuals are provided the opportunity to object to the collection of their personal information, such as SSN, that is collected using various personnel forms when the individual becomes a federal government employee."

The PIA completed for DTS states: "Privacy notice presented to user prior to login states "DISCLOSURE: Voluntary, however, failure to provide all of the requested information may preclude the processing of both the travel request and claim for reimbursement."

j. Do individuals have the opportunity to consent to the specific uses of their PII?

Yes No

(1) If "Yes," describe the method by which individuals can give or withhold their consent.

(2) If "No," state the reason why individuals cannot give or withhold their consent.

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The PIA completed for DCPS states: "When personal information is collected by the Human Resources Office or another office, the forms used to collect the data contain a Privacy Act Statement."

The PIA completed for DTS states: "Privacy notice presented to user prior to login states "DISCLOSURE: Voluntary, however, failure to provide all of the requested information may preclude the processing of both the travel request and claim for reimbursement."

k. What information is provided to an individual when asked to provide PII data? Indicate all that apply.

- Privacy Act Statement Privacy Advisory
 Other None

Describe each applicable format.

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NOTE:

Sections 1 and 2 above are to be posted to the Component's Web site. Posting of these Sections indicates that the PIA has been reviewed to ensure that appropriate safeguards are in place to protect privacy.

A Component may restrict the publication of Sections 1 and/or 2 if they contain information that would reveal sensitive information or raise security concerns.